





# The Woes of a Medical/Clinical Microbiologist

- Last 2 years: > 400 articles on ESBL
- For the more enthusiastic search 'pathogens' yield > 48480 articles from: http://www.ncbi.nlm.nih.gov/sites/entrez

Slide 4

# **Outline of Lecture**

- I. Historical perspectives
- II. Update of most recent ESBLs
- III. Epidemiology of spread of ESBL
- IV. High index of suspicion when to apply it
- V. Laboratory detection
- VI. Multi-faceted approach to manage ESBL

Slide 5

# Historical Perspectives (I-1) The Evolution of β-lactamases: 'Lamentations' of Sanders CC & Sanders WE in *Clin Infect Dis* 1992 >50% ampicillin resistance in *E. coli*One of the early reports of resistance to third generation cephalosporins

### **Classification of B-Lactamases (I-2)**

### Ambler – Structure Group

Ambler RP. The structure of β-lactamases. *Philos Trans R* Soc Lond B Biol Sci 1980;289:321-31

### Bush – Functional Group

Antimicrob Agents Chemother 1989 March; 33(3): 264-270

Slide 7

### Comparison of Ambler and Bush classifications (I-3) http://updd up.ac.za/thesis/available/etd-11042005-080132/unrestricted/01chapter1.pdf

Bush Group	Sub group	Ambler Molecular Class	Characteristics	
1		С	Mainly chromosomal located in Gram-negative bacteria but may be plasmid mediated. Confer resistance to beta-lactams (except carbapenems). Not inhibited by clavulanate.	
2		A.D	Most enzymes inhibited by clavulanate (unless otherwise stated).	
	2a	А	Penicillinases (narrowhydrolysis spectrum) conferring resistance to penicillins.	
	2b	А	Broad-spectrum penicillinases TEM-I & SHV-1 primarily from Gram-negative bacteria.	
	2be	Α	Extended-spectrum beta-lactamases conferring resistance to oxyimino-cephalosporins and monobactams.	
	2br	Α	Inhibitor resistant beta-lactamases (mostly TEM-types and to a lesser extent SHV deriver enzymes).	
	2c	Α	Carbenicillinases.	
	2d	D	Oxacillinases. modestly inhibited by clavulanate.	
	2e	Α	Cephalosporinases inhibited by clavulanate.	
	2f	А	Serine active site carbapenemases. inhibited by clavulanate.	
3	3a. b. c	в	Metallo-beta-lactams es conferring resistance to beta-lactams (except monobactams inhibited by clavulanic acid.	
4			Miscellaneous unsequenced beta-lactamases that do not conform to other groups.	

# **Definition of ESBL (I-4)**

- ESBL is acronym for Extended-Spectrum ß-Lactamases
- First reported in 1983 (Knothe H et al, *Infect* 1983)
- Characteristics of ESBL:
- Class A by Ambler or Group 2be by Bush classifications
- Typically, enzymes are plasmid-mediated derived from older  $\beta\text{-lactamases}$  of TEM and SHV
- In early 2000s, CTX-M derived β-lactamases are included

Slide 9

# What is it in 2007? (I-5)

- Plasmid-mediated AmpC β-lactamases, PER, Toho, etc?
- Chromosomal AmpC in K. pneumoniae & E. coli?
- Integron-mediated ß-lactamases with multidrug resistances?:

Machado E et al; *AAC* 2007 Poirel L et al; *AAC* 2006

Slide 10

# **Renaming ESBL? (I-6)**

- Use clinical approach to depict multiplicity of enzymes in the same isolate?
- Change to Expanded Spectrum B-Lactamases or X-Spectrum B-Lactamases?
- Useful read on controversies about ESBL and AmpC β-lactamases – see Thomson KS, *EID* 2001

Slide 11

# Update of Most Recent ESBLs (II-1)

Reference http://www.lahey.org/studies/

Slide 12

### Update of Most Recent ESBLs (II-2)

- · Important not to restrict singular enzyme per pathogen
- Rather, multiple enzymes can be found within the same pathogen
- Co-resistances to other antimicrobial groups compound the complexity (e.g. fluoroquinolones, trimethoprim, gentamicin, carbapenem)

The pathogens conduct their own conjugation and transformation experiments (without human funding)

Slide 13

# **Epidemiology of ESBL (III-1)**

Paterson DL and Bonomo RA Clin Microbiol Rev 2005

- Europe
- North America
- · South and Central America
- Africa
- Asia

Slide 14

# Epidemiology of ESBL (III-2)

### Europe

France – early 1990s 25-35% nosocomial K. pneumoniae - Year 2000, 30.2% Enterobacter aerogenes SENTRY 1997 and 1998 - 25 European hospitals (ICU and non-ICU) 21% K. pneumoniae

### North America

NNIS 1998-2002 - 6.1% K. pneumoniae from 110 ICUs - 10% of ICU >25% - Non-ICU 5.7% K. pneumoniae - Outpatients 1.8% and 0.4% Between 2003-2004 – emergence of CTX-M

Slide 15

# **Epidemiology of ESBL (III-3)**

### South & Central America

1980 - CTX-M linked to Salmonella enterica spread to many parts of the ontinent. Did the method for detection included CTX in addition to CAZ?
ICUs (Brazil, Colombia, Venezuela) - 30-60% of klebsiellae

### Africa

36.1% of *K. pneumoniae* from a single South African hospital CTX-M found in Kenya reported in 2001

### Australia

SENTRY 1998-1999 Overall 5% in hospitals

Slide 16

# **Epidemiology of ESBL (III-4)**

### Asia

- SENTRY 19898-1999
- 30.7% K. pneumoniae and 24.5% E. coli.
- Teaching hospital in Beijing reported in 2002 27% (both *K. pneumoniae* and *E. coli*) from blood cultures
- Zhejiang Province (China) 34% E. coli and 38.3% K. pneumoniae
- A Japanese hospital in 1998-1999 25% K. pneumoniae
- Reports of CTX-M from 2001: India, China, Japan, Korea, Taiwan and spreading

Slide 17

# **Epidemiology of ESBL (III-5)**

### Subsequent to 2005:

 Increasing reports of plasmidmediated AmpC in *E. coli* and

### K. pneumoniae

• Co-existence of ESBL, AmpCs and other β-lactamases in the same isolate

Slide 18

Slide 19

Slide 21

# High Index of Suspicion in Healthcare Settings – when to apply it (IV-1)

- A) Endogenous enemies from within
- B) Exogenous enemies from without
- C) Level of infection control
- D) Level of antimicrobial utilisation Others, e.g., antimicrobials & food production

### Endogenous: The Gastrointestinal Tract (IV-2)

- Within the 7 feet or so of intestinal tract in humans, genetic exchange can occur between pathogens and/or microbes?
- For e.g. AmpCs from *Enterobacter* spp (& others) can pass onto *E. coli* and *K. pneumoniae* and vice versa?

[The same events occur in food animals?]

Slide 20

### Exacerbation of Genetic Exchange (IV-3)

- Multiple courses of antimicrobials
- Indwelling catheters
- Multiple premorbid conditions

# B. Exogenous – Enemies from Without (ie in the vicinity) (IV-4)

- Long hospital stay
- Residing in long term care facilities
- Patient in the next cubicle or someone transferred from elsewhere who harbours ESBL (hospital, centre, country)

Slide 22

# C. Level of infection control (IV-5)

### Is this a recent implementation?

For a long time, MRSA was the only pathogen deserving strict hand hygiene & not GNR

Slide 23

# D. Level of Antimicrobial Utilisation (IV-6)

What are the significance and relevance of selective pressure?

Slide 24

### Is There an Association Between Cephalosporins Usage and Their Ccorresponding Resistances? (IV-8)

- How much cephalosporins are used and what are these?
- If cefotaxime (and or ceftriaxone) are used in far greater excess over ceftazidime, then CTX (and or 'CEF') predominates?

Slide 25



# V. Laboratory Detection (V-1)

### Historical perspectives

1988

Jarlier effect – CTX with Augmentin (Jarlier V et al *Rev Infect Dis* 1988)

### 1990

NCCLS- ceftazidime zone <15mm Kirby Bauer Method for screening

### 1994

Synergy testing with ceftazidime (Sader HS et al Diagn Microbiol Infect Dis 1994)

Slide 27

# Laboratory Detection (V-2)

1996

Etest with ceftazidime and clavulanate was recommended (Cormican MG et al *JCM*)

### 1996

>50% ESBL E. coli and 29% of ESBL K. pneumoniae were resistant to cefoxitin and 10% of non-ESBL E.coli and K. pneumoniae also resistant to cefoxitin (Jacoby GA & Han P JCM)

### 2001

Cefpodoxime recommended for screening Clin Microbiol Rev 2001

Slide 28

# Current Modern Methods (V-3)

CLSI – Clinical Laboratory and Standards Institute

- ARMRL Antibiotic Resistance Monitoring and Reference Laboratory, Health Protection Agency Centre for Infections, London
- EUCAST- European Society of Clinical Microbiology & Infectious Diseases
- Commercial methods Etest, BD Phoenix, Vitek, Neotabs & others

Slide 29

# Law of Serendipity (V-4)

in association with:

Otago Diagnostic Laboratories (ODL) Method for the detection of β-lactamases in *Enterobacteriaceae* 

Slide 30

### **Observations and Questions from Staff of** ODL in Dunedin Hospital, NZ (V-5)

- Routine laboratory struggles with ESBL detection: clinical isolates do not conform to behaviour of research isolates
- Jarlier effect appears obsolete for the detection of ESBL
- Reference laboratories e.g. ESR Wellington (NZ) uses it (Jarlier effect)
- Double Disk Test for ESBL from Mount Sinai Hospital, Toronto, Canada looks plausible

Slide 31









Clinical Isolate E. cloacae **Demonstrates Inducible AmpC and** 



Slide 36







A. Ascertain Clinical Significance of Isolate Obtained (VI-2)

Is it a contaminant, colonizer or true invasive pathogen?



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Slide 41

	[	surveillance of total hip replacement surgical site infections (VI-4) Yoke-Fong Chiew & Jean-Claude Theis ANZ J Surg 2007 46th ICAAC, Session 074/Surgical site infection: Prophylaxis, Prevention and Prevalence Outcomes of Comparison of 4 Approaches to the Diagnosis of SSI						
Risk index	No. of patients studied	Case No. and type of infection according to risk index, day of diagnosis (post-op day), microorganisms isolated	Description of infections according to Case No <sup>3</sup>	Diagnosis of infection according to ASEPSIS score <sup>k</sup> (≤20>)	Diagnosis of infection according to presence of pus cells <sup>4</sup>	Assessment by medical microbiologist		
0	114	5 cases of SE (1) 7th POD = no specimen (2) 8th POD = <i>E</i> forcasilir, no WBC (3) 14th POD = <i>S</i> aniresis, moderate WBC (5) 30th POD = no specimen	<ol> <li>Stitch abscess</li> <li>Erythema of wound</li> <li>Erythema of wound</li> <li>Purulent wound</li> <li>Erythema of wound</li> </ol>	(1) Uninfected (≤20) (2) Infected (>20) (3) Infected (>20) (4) Infected (>20) (5) Uninfected (≤20)	<ol> <li>Unknown</li> <li>Uninfected</li> <li>Uninfected</li> <li>Infected</li> <li>Infected</li> <li>Infected</li> <li>Unknown</li> </ol>	<ol> <li>Uninfected</li> <li>Uninfected, possible colonizers</li> <li>Uninfected, possible colonizers</li> <li>Infected</li> <li>Infected</li> <li>Uninfected</li> </ol>		
1	80	1 case of SI: (6) 3 <sup>2</sup> POD = no specimen 2 cases of DI: (7) 1st POD = Streptococcus gap from enrichment broth, no WBC (joint tissue) (8) 10th POD = Enerococcus gap, moderate WBC	(6) Serous discharge (7) Erythema of wound (8) Purulent wound with discharge	(6) Uninfected (≤20) (7) Infected (>20) (8) Infected (>20)	(6) Unknown (7) Uninfected (8) Infected	6) Uninfected (7) Uninfected, possible contaminant. (8) Infected		
2	12	1 case of SI: (9) 7th POD = Staphylococcus spp + diphtheroids, no WBC	(9) Erythema of wound	(9) Uninfected (≤20)	(9) Uninfected	(9) Uninfected, possible colonizers.		
Total n	mber of int	fected patients	9	5	2 (3 unknown)	2		

# Interpretation of Laboratory Results (VI-5)

Close clinician-laboratory interaction to minimise over-diagnosis and miss-diagnosis of infections





### B. Reduce Unnecessary Antibiotic Utilisation To Decrease Selective Pressure & <u>Reduce</u> <u>Unnecessary Adverse Effects Too</u> (VI-7)

- Mazzeo F et al, *Pharmacol Res* 2005 Hospital-based intensive monitoring of antibioticinduced adverse events in a university hospital.
- Sanford Guide to Antimicrobial Therapy: http://www.sanfordguide.com/
- John Hopkins Antibiotic Guide: http://hopkins-abxguide.org/

Slide 46

### C. Determine Third Cephalosporins Usage and Frequency of ESBLs (VI-8)

- K Urbanek, M Kolar, Y Loveckova, J Strojil, and L Santava
- Influence of third-generation cephalosporin utilization on the occurrence of ESBL-positive *Klebsiella pneumoniae* strains
- J Clin Pharm Ther 1 Aug 2007 32(4): p. 403. http://highwire.stanford.edu/cgi/medline/pmid;17635342

Slide 47

# D. Choice of Antimicrobials for Treatment (VI-9)

A Carbapenem? What are the Pharmacokinetics and Pharmacodynamics?

Slide 48

# D. Pharmacokinetics and Pharmacodynamics (VI-10)

Lessons learnt and Questions arising from 46<sup>th</sup> ICAAC Workshops San Francisco, USA

Slide 49

# Questions/Problems about PK/PD approach to prevent emergence of resistance (VI-11)

- · Polymicrobial infection
- Subtherapeutic dosing in the presence of biofilm formation arising from indwelling catheters
- Choice of parameters? for e.g.:C<sub>max</sub>/MIC, AUC/MIC, T>MIC
- MIC is not sufficient to evaluate the PK/PD relationships of antimicrobial agents.
- PK/PD analysis based on MIC alone can be misleading.
- Protein binding and tissue distribution are important
  pharmacokinetic parameters that need to be considered
- Variance of PK in population?
- What is the correct PD index target (static, -1 log, -2 log drop@24h?, 48h? 5d end point?)

Slide 50

# Questions/Problems about PK/PD approach to prevent emergence of resistance (VI-12)

- · Variability in the PD target size ie inoculum.
- · Variance of PD for different micro-organisms groups?
- What is the prediction in chronic infection (bone; abscess formation)
- There are variations in methods and definitions of indices as well as uncertainty about errors.
- What about combination antimicrobial therapies synergy, antagonism, additional effects?
- What about drug interactions with non-antimicrobial agents?
- MICs may be lower or higher for different regions?
- · Is PK/PD different for neutropenics and non-neutropenics?

Slide 51

# Nevertheless.....(VI-13)

PK/PD is vital to prevent:

- Subtherapeutic dosing which leads to emergence of resistance
- · Overdosing which leads to toxicity

Slide 52

# E. Infection Control (VI-14) • Gould IM et a<br/>http://www.do<br/>'Grampian ou<br/>• Conterno LO G<br/>Impact and cos<br/>extended-sp Plays a vital role in centres where<br/>ESBL rates are low but becomes<br/>desperate when rates >50% • Mammina C<br/>e<br/>Surveillance on<br/>unit: promi<br/>• Muratani T et<br/>Emergence and<br/>resistant to<br/>• Ben-Ami R et<br/>Influx of exten<br/>hospital.

**ESBL and Infection Control (VI-15)** Gould IM et al J Hosp Infect 1996;33:249-62 http://www.documents.hps.scot.nhs.uk/ewr/supp/0450ESBLsupplement.pdf 'Grampian outbreak' Conterno LO et al J Hosp Infect 2007 Impact and cost of infection control measures to reduce nosocomial transmission of extended-spectrum beta-lactamase-producing organisms in a non-outbreak setting Mammina C et al Am J Infect Contr 2007 Surveillance of multidrug-resistant gram-negative bacilli in a neonatal intensive care unit: prominent role of cross transmission Muratani T et al Int J Antimicrob Agents 2006 Emergence and prevalence of beta-lactamase-producing Klebsiella pneumoniae resistant to cephems in Japan Ben-Ami R et al Clin Infect Dis 2006 Influx of extended-spectrum beta-lactamase-producing enterobacteriaceae into the Slide 54

Slide 53

### F. Funding – for what? (VI-16)

- For e.g. inadequate staffing and inadequate training?
- And for all of the mentioned VI (A-I)

# G. Closer Collaboration Between Research and Clinical Laboratories (VI-17)

Clinical isolates are more complex than the research ones and they evolve faster too in clinical settings

Slide 56

# H. Contributions by Manufacturer Towards Combating Antimicrobial Resistance e.g. ESBL (VI-18)

Priority (amongst others) given to education concerning: -

- · antimicrobial resistance
- how to sustain shelf-lives of antimicrobials
- benefits to individual patient care
- consequential profit gains

Slide 57

Slide 55

# I. Antimicrobial Usage in Food Production (VI-19)

Direct effects of selective pressure are more urgent/important on human pathogens?

For e.g. Rapid spread of *Staphylococcus aureus* with reduced susceptibilities to vancocmycin widely reported in Europe due to prescriptions in healthecare - despite withdrawal of avoparcin in food production?

Van Griethuysen A et al JCM 2003; 41:2487-91; Reverdy ME et al 2001; Nonhoff C et al 2005; Mallaval FO et al 2004; Heym B et al 2002; Bernard L et al 2004; Garnier F et al 2006; Lecaillon E et al 2002

Slide 58

### Nevertheless, Indirect Selective Pressure Is Eminent & Imminent (VI-20)

- Mayrhofers et al Microb Drug Resist 2006
- Bengtsson B & Wierup M al Anim Biotechnol 2006
- Chen J et al Appl Environ Microbiol 2007
- Slorach SA Rev Sci Tech 2006
- Wagenaar JA et al Rev Sci Tech 2006
- Fluckey WM et al J Food Prot 2007
- Many others

Slide 59

# What Approach Should We Adopt to Address Antimicrobial Resistance? (VI-21)

- Gentle approach
- Forceful approach

Slide 60

# **Gentle Historical Approach (VI-22)**

French Philosopher Blaise Pascal said: "No one is strong unless he or she bears within their character antithesis strongly marked"

- In the arena where we watch humans pit their wits against the ingenuity of microbes, some resemblance to this philosophy may be observed. The brilliance and tenacity of the human mind that I shall summarily call 'Thesis' are in constant battle with the counterbacks from microbes. 'Anti-thesis' in the form of Human **Arrogance or Despair** may well tip the balance in favour of the counterattacks. Our 'Thesis' must be held in tension with other virtues like **Humility or Hope** accordingly – where strength is to be found. And by balancing these virtues, we then become more fully developed and stronger people.
- Taken from MD Thesis 'The Epidemiology and Laboratory Detection of Resistant Enterococci' carried out by Dr Yoke-Fong Chiew at the National University of Singapore

Slide 61

# Modern More Forceful Approach At The ISAAR 2007 (VI-23)

Dr Keryn Christiansen (Royal Perth Hospital, Australia) on 'Managing Antibiotic Policies'

Dr Wing Hong Seto (Queen Mary Hospital, HK) on 'Immediate Concurrent Feedback'

Dr Walter R Wilson on 'Pathogens vs Humans', some e.g.s: Similaries: Both are diversified competitors Differences: United (Pathogens) vs Divided (Humans)

Slide 62

# Nobel Prizes and Antimicrobials

· Nobel prize awarded to discovery of penicillin

• Nobel prize to be awarded for capping antimicrobial resistances or miraculously reversing the trends for some of them?

What are involved? Processes and team efforts?

Slide 63



# Solution VI (A-I) to Enigma of ESBL PERSEVERE! Don't Give Up Have a Good Day Contact:

yfchiew@hotmail.com

For more beautiful jigsaw images by Mr Stephen Linhart, refer http://www.stephen.com/enigma/c nigma.html Slide 65



